

A Grand-Unified Model for Cosmology

Marcos A. García García

Rice University

Based on 1609.05849, with

J. Ellis (King's College/CERN)

D.V. Nanopoulos (TAMU/HARC/Athens)

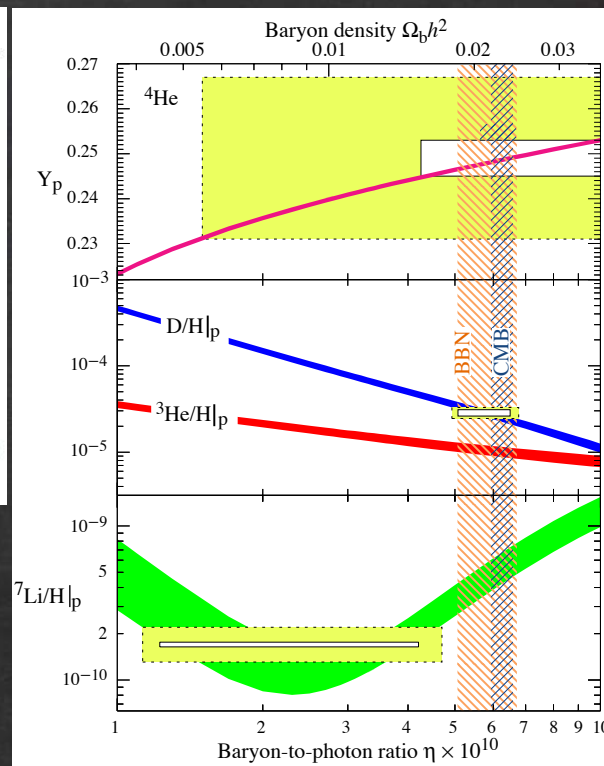
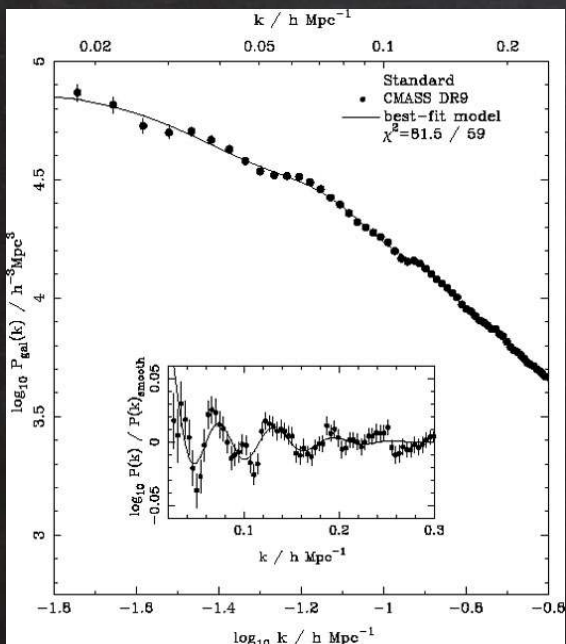
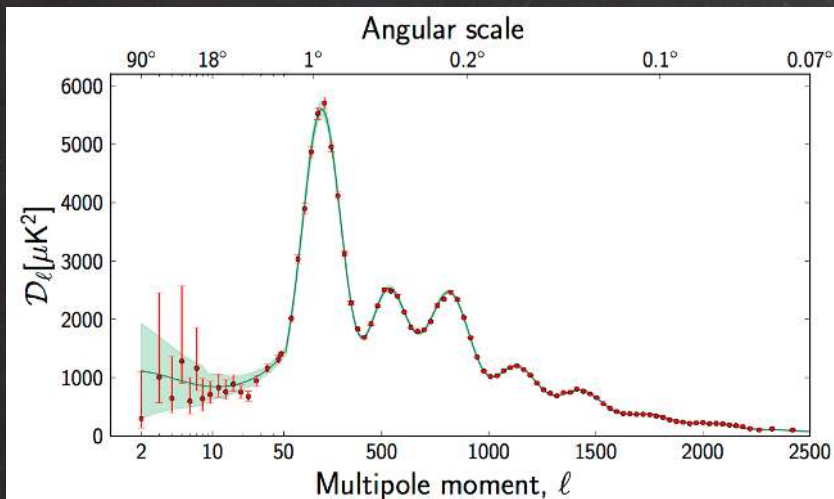
K.A. Olive (U. Minnesota)

N. Nagata (U. Tokyo)



RICE

Cosmology is now a quantitative science:



- Initial conditions? (inflation)
- Matter-antimatter asymmetry?
- Dark matter?
- Neutrinos?
- Phase transitions?
- ...

Goal:

A particle physics basis for cosmology (inflation)

INTRODUCTION

Why Inflation?

Cosmological inflation is the early period of accelerated expansion, $a \sim e^{Ht}$
It provides a solution to the problem of initial conditions in standard cosmology:

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- The Flatness Problem

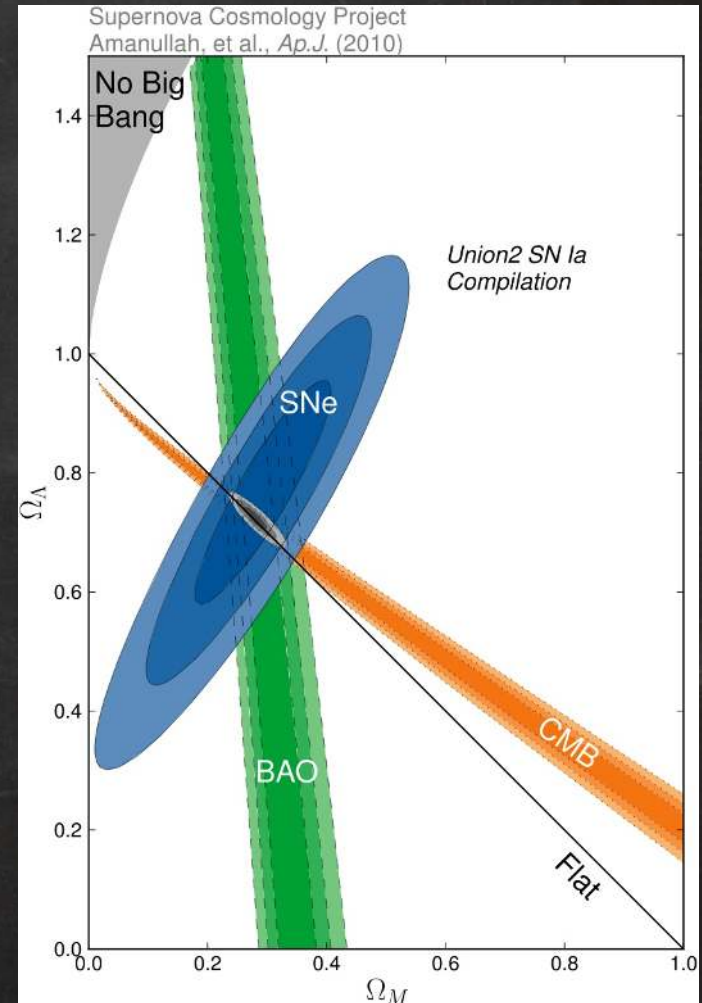
For a density $\rho_c = 3H^2 M_P^2$, $k = 0$

$$\Omega = \rho/\rho_c = 1.000 \pm 0.005 \quad (\text{Planck, 2015})$$

But the condition $\Omega \approx 1$ is unstable!

$$|\Omega - 1|_{\text{GUT}} < 10^{-57}$$

$$\text{Inflation} \Rightarrow |\Omega - 1| \propto e^{-2Ht} \quad \checkmark$$



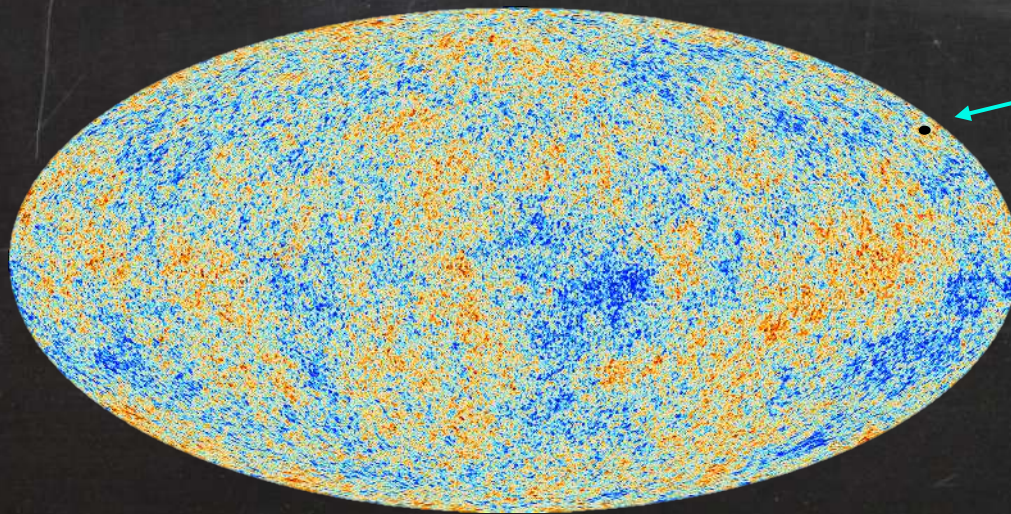
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- The Flatness Problem
- The Horizon Problem

If the Universe is dominated by matter or radiation, the particle horizon is

$$L_p = a(t) \int_0^t \frac{dt'}{a(t')} \sim H^{-1}$$



Size of particle horizon at recombination

But $\frac{\Delta T}{T} \sim 10^{-5}$

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Inflation



$$L_p(t) = H^{-1}(e^{Ht} - 1) \quad \checkmark$$

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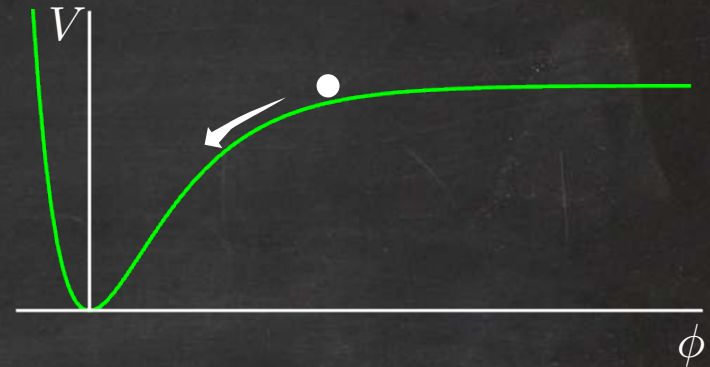
- The Flatness Problem
- The Horizon Problem
- Small Scale Inhomogeneities

There is a plethora of structure on smaller scales (stars, galaxies, clusters,...)

Quantum fluctuations during inflation are stretched into macroscopic scales; they are "frozen in". At the later stages of radiation and matter domination, these fluctuations set the initial conditions for structure formation

A scalar field slowly rolling in its potential

$$\mathcal{S} = \int d^4x \sqrt{-g} \left[\frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) \right]$$



$$\Rightarrow \begin{cases} \ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0 \\ H^2 = \frac{1}{3M_P^2} \left[\frac{1}{2} \dot{\phi}^2 + V(\phi) \right] \end{cases}$$

$$\Rightarrow \ln \left(\frac{a_f}{a_i} \right) \gg 1$$

Easy to realize:

$$V(\phi) \sim \begin{cases} \phi & \checkmark \\ \phi^2 & \checkmark \\ \phi^4 & \checkmark \\ \vdots & \\ e^\phi & \times \end{cases}$$

The power spectrum of perturbations

$$\phi(t, x_i) = \phi(t) + \delta\phi(t, x_i)$$

$$ds^2 = (1 + 2\Phi)dt^2 - 2a(t)B_i dx^i dt - a^2(t)[(1 + 2\Psi)\delta_{ij} + 2E_{ij}]dx^i dx^j$$

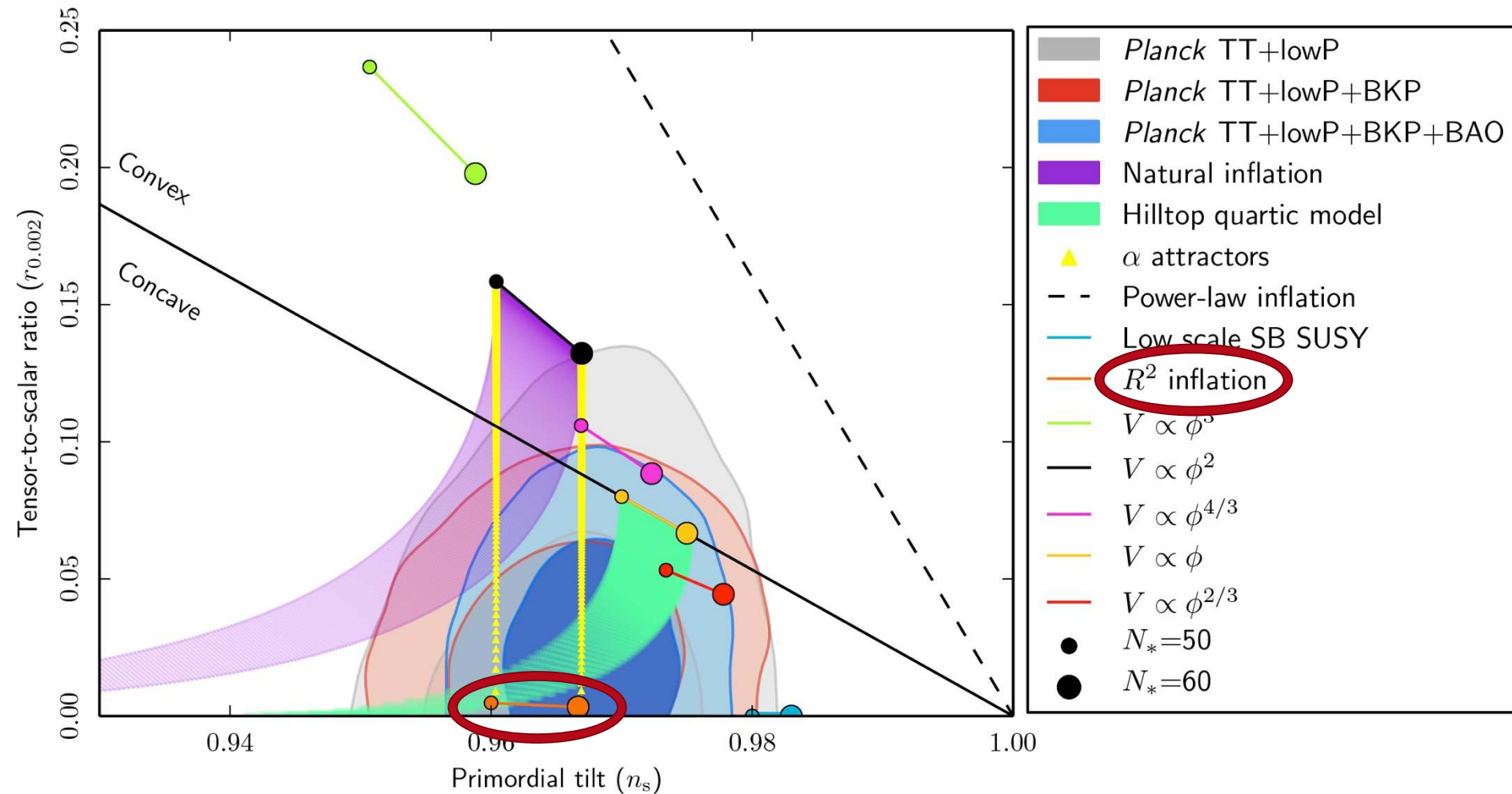
- Scalar

$$\langle \mathcal{R}(k)\mathcal{R}(k') \rangle = \frac{2\pi^2}{k^3} \mathcal{P}_{\mathcal{R}} \delta(k - k') \quad \longrightarrow \quad \mathcal{P}_{\mathcal{R}} = \underbrace{\frac{H^4}{4\pi^2 \dot{\phi}^2}}_{A_S} \left(\frac{k}{aH} \right)^{n_s - 1}$$

- Tensor

$$\sum_{\gamma=+, \times} \langle h_{\mathbf{k}, \gamma} \bar{h}_{\mathbf{k}', \gamma} \rangle = \frac{2\pi^2}{k^3} \mathcal{P}_{\mathcal{T}} \delta(k - k') \quad \longrightarrow \quad \mathcal{P}_{\mathcal{T}} = \underbrace{\frac{2}{\pi^2} H^2}_{A_T} \left(\frac{k}{aH} \right)^{n_T}$$

$$\ln(10^{10} A_S) = 3.094 \pm 0.034, \quad n_s = 1 + \frac{d \log \mathcal{P}_{\mathcal{R}}}{d \log k} \quad r = \frac{\mathcal{P}_T}{\mathcal{P}_{\mathcal{R}}}$$



$$S = \frac{1}{2} \int d^4x \sqrt{-g} \left(-R + \frac{R^2}{6m^2} \right) \quad (\text{Starobinsky, 1980})$$

“change of variables” (conformal transformation)

$$= \int d^4x \sqrt{-\tilde{g}} \left[-\frac{\tilde{R}}{2} + \frac{1}{2} (\partial_\mu \phi')^2 - \underbrace{\frac{3}{4} m^2 \left(1 - e^{-\sqrt{2/3} \phi'} \right)^2}_{\text{Starobinsky potential}} \right]$$

$$n_s \approx 0.961 - 0.968$$

$$m \simeq 10^{-5} M_P$$

$$r \approx 0.0030 - 0.0042$$

The Standard Model

$$SU(3)_C \times SU(2)_L \times U(1)_Y$$

Q

u_L
 d_L

L

e_L
 ν_L

u_R
 d_R

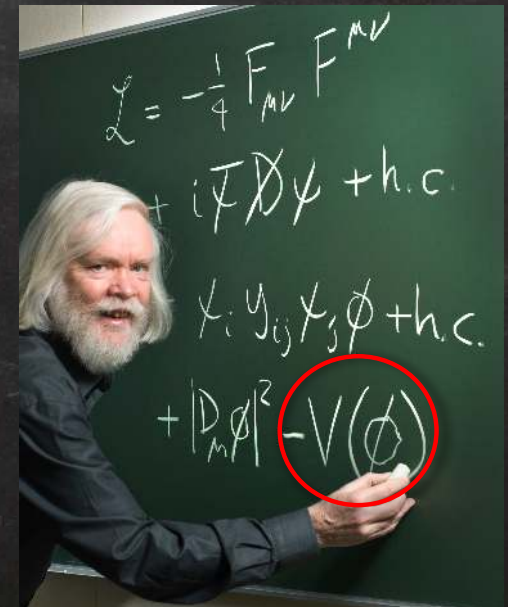
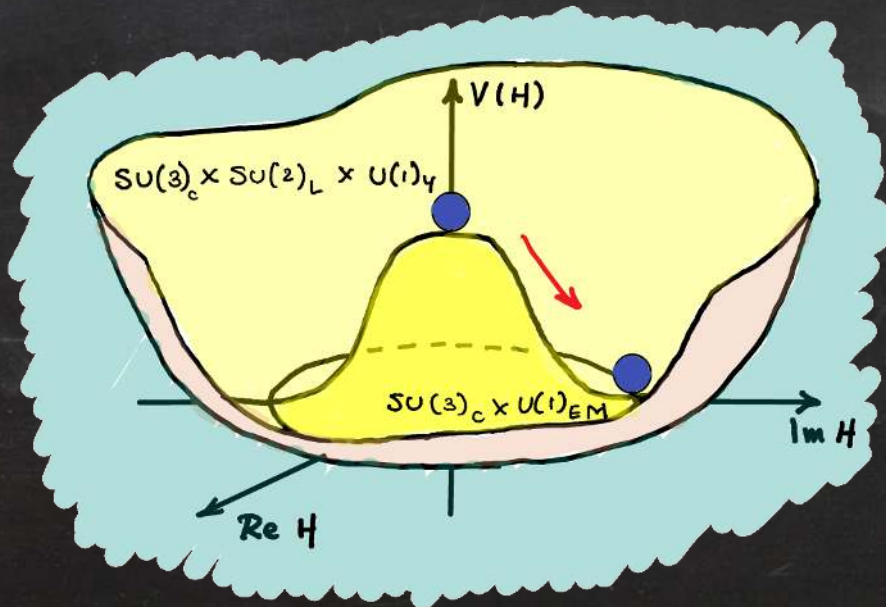
e_R
($\times 3$)

$g_1 \dots g_8$

W^\pm W^0

B^0

H



The Standard Model

$$SU(3)_C \times SU(2)_L \times U(1)_Y$$

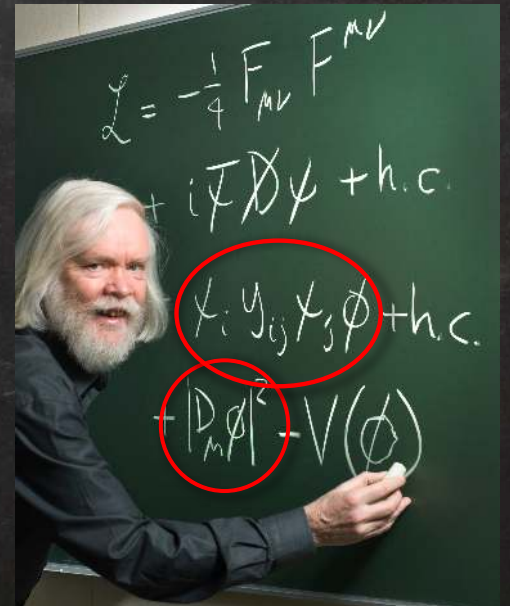
Q

L

u_R e_R
d_R (×3)

H

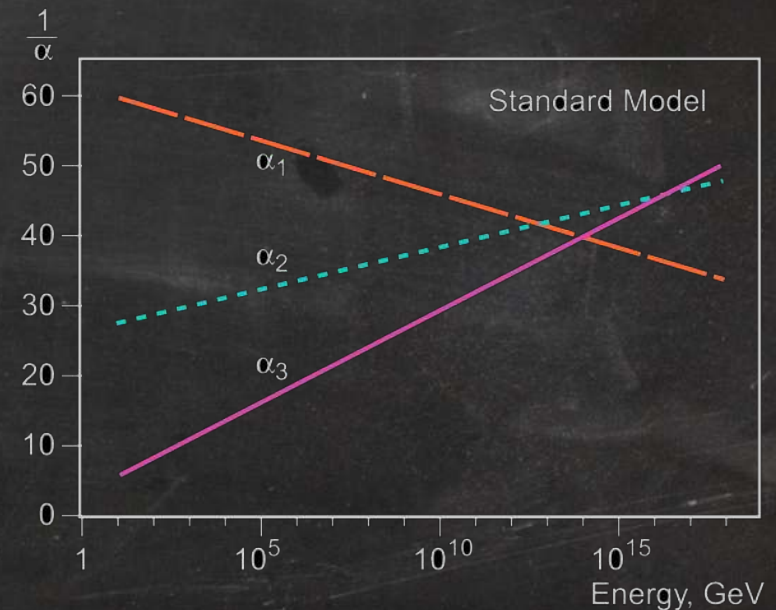
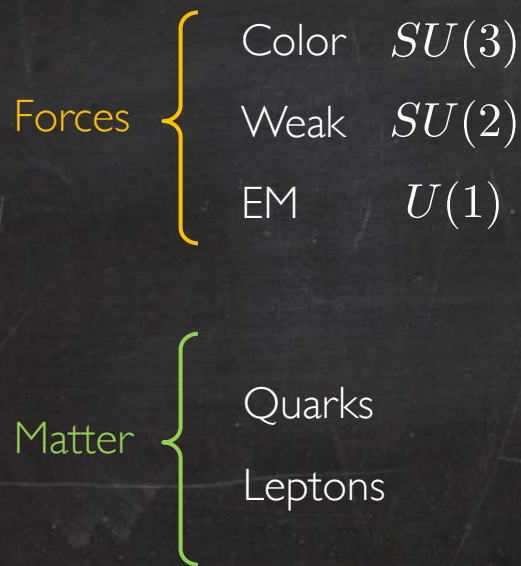
$$-\mathcal{L}_{\text{mass}} \sim \underbrace{y \langle H \rangle}_{m_\psi} (\bar{\psi}_L \psi_R + \bar{\psi}_R \psi_L) + \underbrace{g^2 \langle H \rangle}_{m_A^2} A_\mu A^\mu$$



Grand Unification

The Standard Model provides a consistent picture of the dynamics and symmetries of the strong, weak and EM interactions. However...

- No understanding of discrete nature of electric charge
- Unification is partial, with seemingly unrelated interaction strengths



- Mysterious technical coincidences (anomaly cancellation,...)

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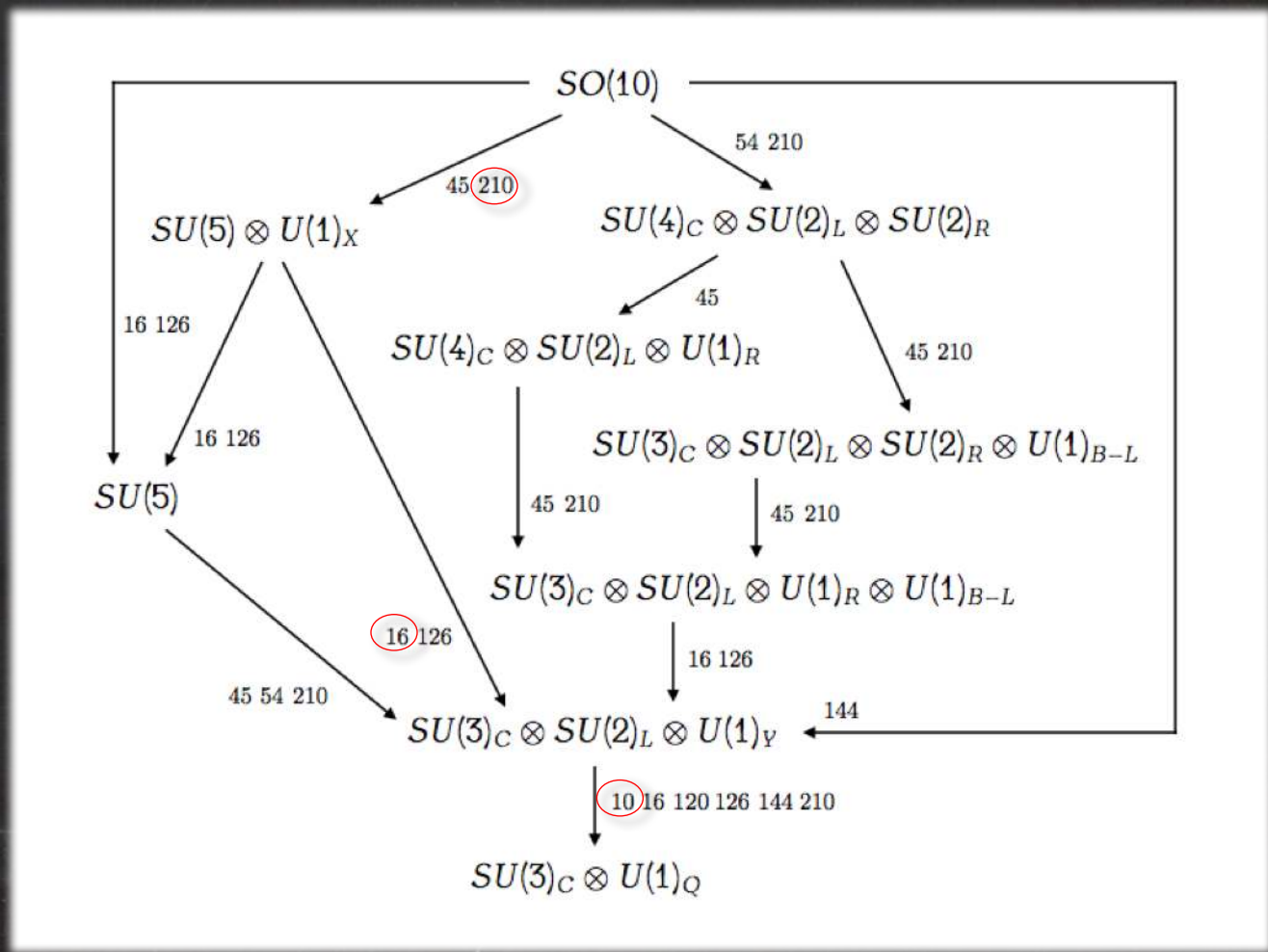
- No understanding of discrete nature of electric charge
- Unification is partial, with seemingly unrelated interaction strengths

$$\text{Forces} \left\{ \begin{array}{ll} \text{Color} & SU(3) \\ \text{Weak} & SU(2) \\ \text{EM} & U(1) \end{array} \right\} \begin{array}{l} \text{Extend the SM with a "bigger" interaction} \\ \\ SU(5) \xrightarrow{M_{\text{GUT}}} SU(3) \times SU(2) \times U(1) \xrightarrow{M_W} SU(3) \times U(1)_{\text{EM}} \end{array}$$

$$\text{Matter} \left\{ \begin{array}{l} \text{Quarks} \\ \text{Leptons} \end{array} \right\} \begin{array}{l} \text{Partial Q-L unification} \\ d_R \leftrightarrow e_L, \nu_L \\ e_R \leftrightarrow u_R, u_L, d_L \end{array}$$

- Mysterious technical coincidences (anomaly cancellation,...)

Gauge and Matter Unification: SO(10)



(from 1110.3210)

Spinor **16** : $\psi \supset (Q, u^c, d^c, L, \nu^c, e^c)$ (right-handed neutrino)

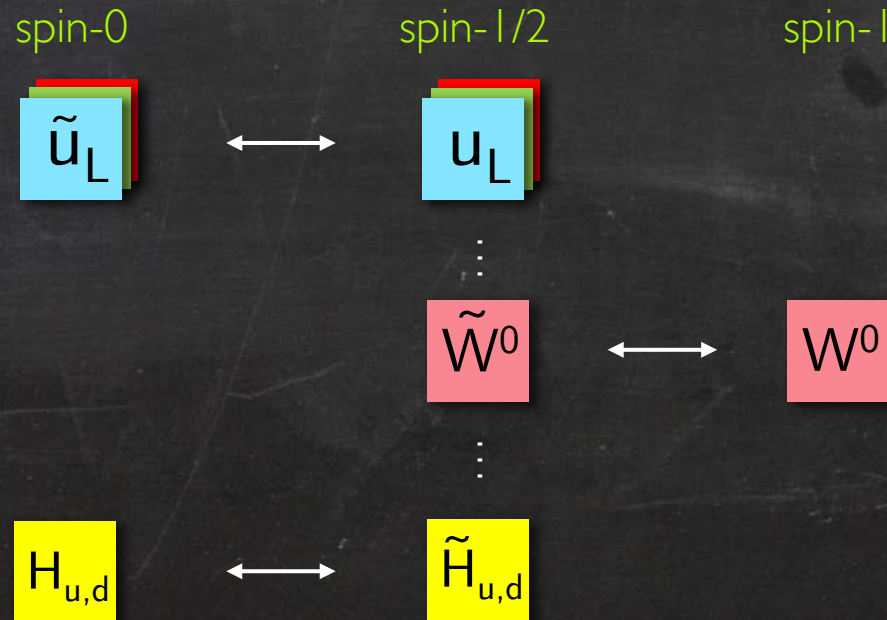
Why Supersymmetry?

It is the spacetime symmetry that relates bosons and fermions. If it is realized in nature, it must be broken.

$$Q|\text{Boson}\rangle = |\text{Fermion}\rangle, \quad Q|\text{Fermion}\rangle = |\text{Boson}\rangle$$

Lorentz invariance: particles \longleftrightarrow antiparticles

Supersymmetry: particles \longleftrightarrow sparticles

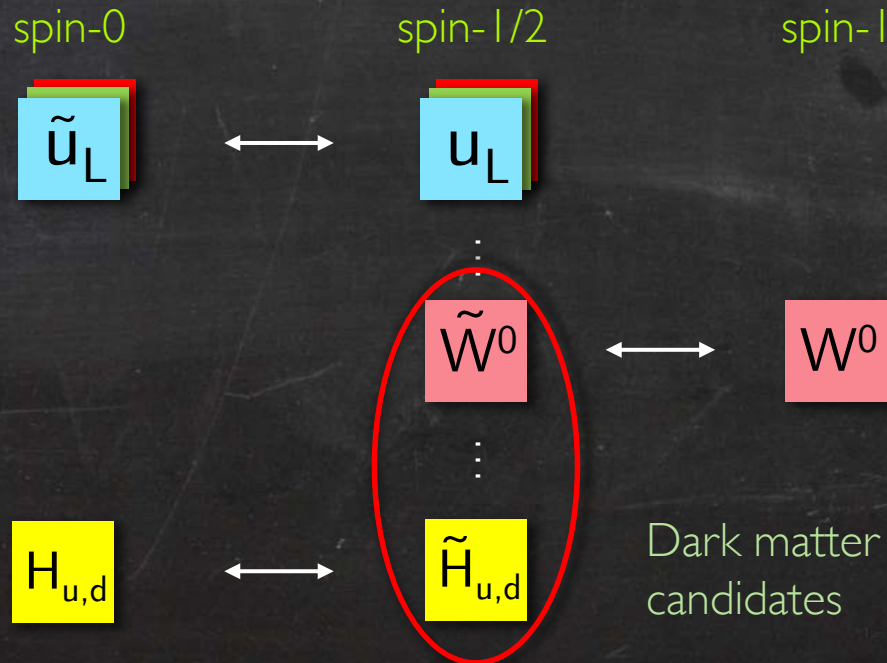


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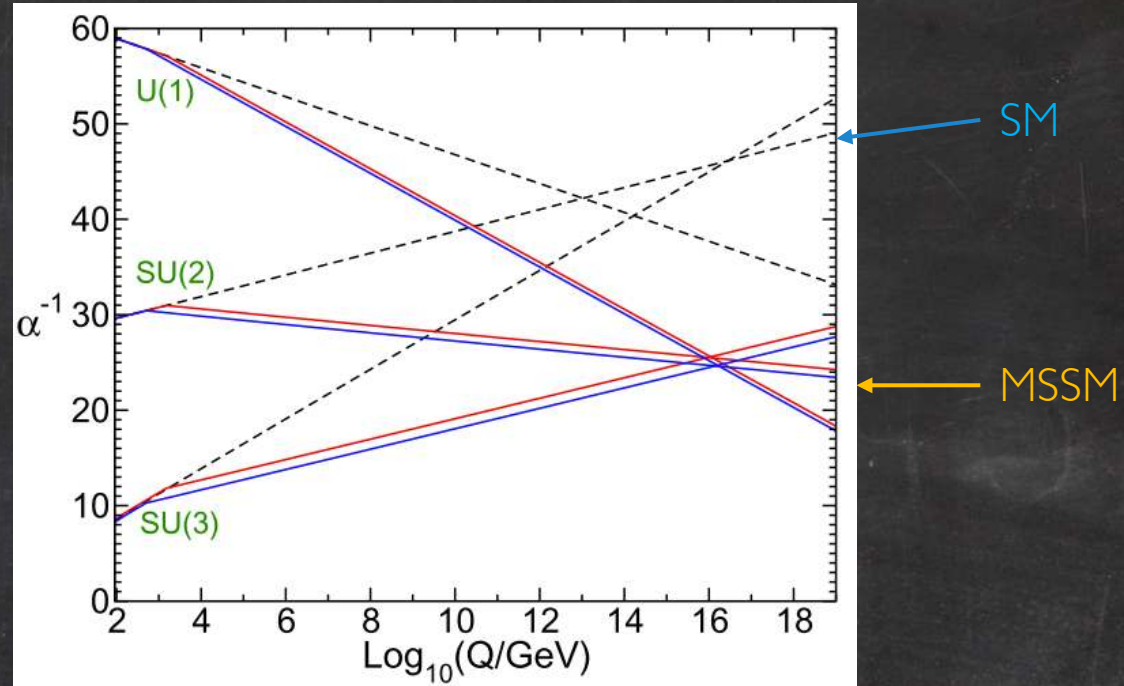
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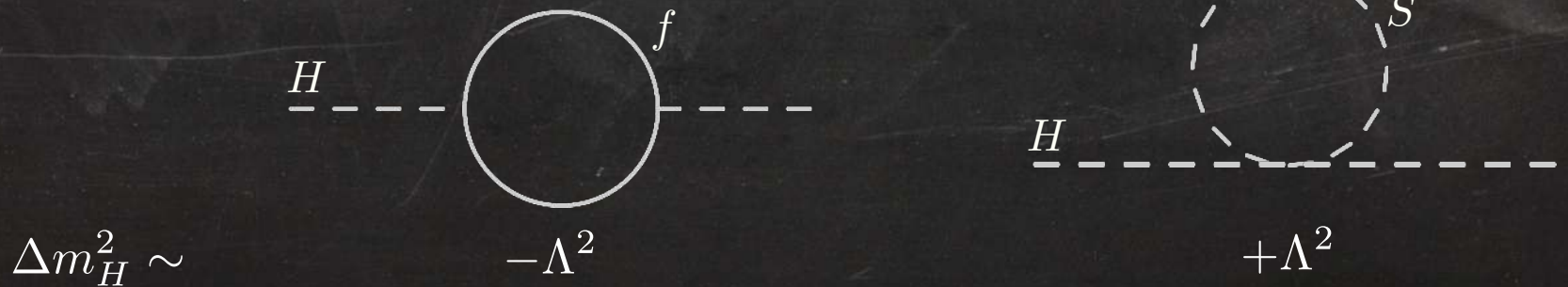
Lorentz invariance: particles \longleftrightarrow antiparticles
 Supersymmetry: particles \longleftrightarrow sparticles



SUSY allows gauge coupling unification



Radiative corrections are under control



When supersymmetry is promoted to a local symmetry, it automatically contains GR

⇒ SUPERGRAVITY

spin-2 graviton e_{μ}^a \longleftrightarrow ψ_{μ} spin-3/2 gravitino

Blessing: all couplings determined by only two functions

$K(\Phi, \bar{\Phi})$

Kähler potential

$W(\Phi)$

superpotential

Curse: inflation is difficult to realize

$$V = -e^K \left[(K^{-1})^j_i (K^i W + W^i) (K_j \bar{W} + \bar{W}_j) - 3|W|^2 \right]$$

$$\sim e^{\phi^2} \left[\lambda_0 + \lambda_1 \phi + \cdots + \lambda_8 \phi^8 \right]$$

too steep!

$O(1)$ "holes" with $V_0 \neq 0$

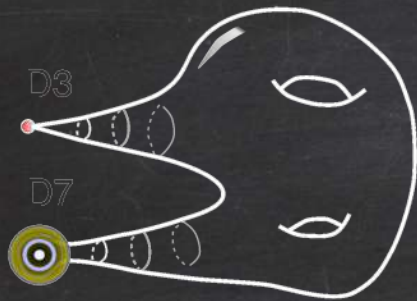
NO-SCALE $SO(10)$ INFLATION

Model wish-list:

- ✓ Planck-compatible inflation
- ✓ The right amount of reheating
- ✓ GUT and SM breaking
- ✓ Neutrino masses
- ✓ Doublet-triplet splitting
- ✓ Baryogenesis
- ✓ Proton decay
- ✓ Dark matter

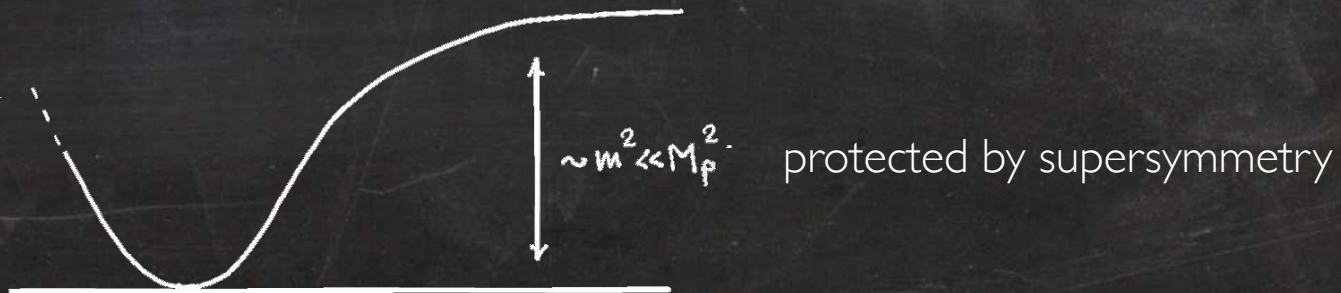
No-scale supergravity naturally contains R²-inflation

- A very symmetric theory; the EFT of the compactified string



$$K = -3 \ln \underbrace{\left(T + \bar{T} - |\phi|^2/3 + \dots \right)}_{SU(N,1) / SU(N) \times U(1)} + \dots$$

- The scalar potential is naturally flat; flatness can be lifted by mass parameters that break the underlying symmetry



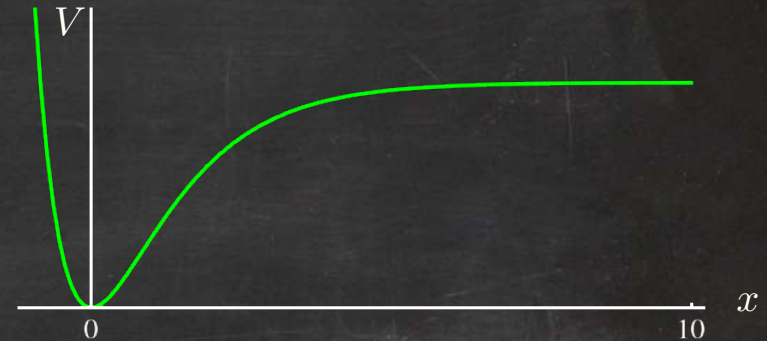
- Supersymmetry is broken through radiative corrections

- Cecotti (1987):

$$K = -3 \ln \left(T + \bar{T} - \frac{|\phi|^2}{3} \right)$$

$$W = \sqrt{3} m \phi (T - 1/2)$$

$$\text{Re} T = \frac{1}{2} e^{\sqrt{2/3} x}$$



- Ellis, Nanopoulos, Olive (2013):

$$K = -3 \ln \left(T + \bar{T} - \frac{|\phi|^2}{3} \right)$$

$$W = m \left(\frac{\phi^2}{2} - \frac{\phi^3}{3\sqrt{3}} \right)$$

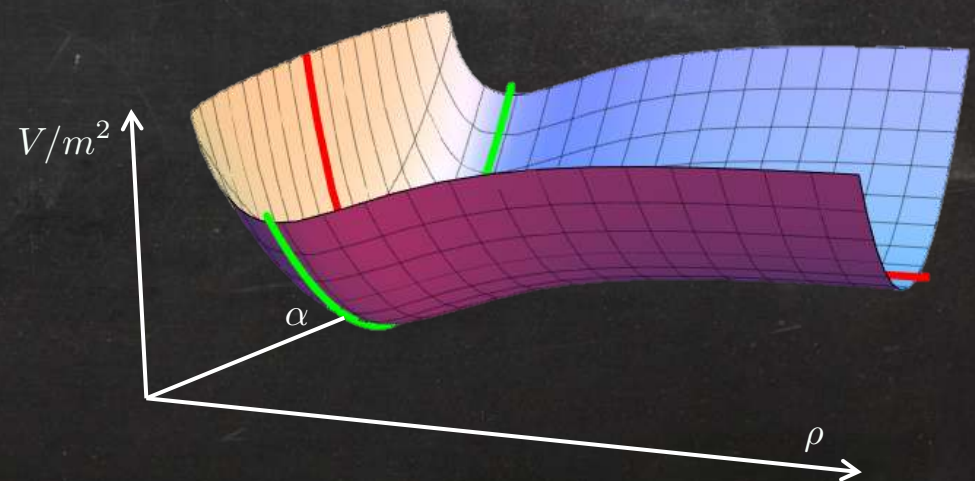
$$\phi = \sqrt{3} \tanh(x/\sqrt{6})$$

- Ellis, MG, Nanopoulos, Olive (2014):

$$K = -3 \ln(T + \bar{T}) + \frac{|\phi|^2}{(T + \bar{T})^3}$$

$$W = \sqrt{3} m \phi (T - 1/2)$$

$$T = \frac{1}{2} e^{-\sqrt{2/3} \rho} + \frac{i}{\sqrt{6}} \alpha$$



Inflaton: a SO(10) singlet S ($\times 3$)

16 matter: $\psi \supset (Q, u^c, d^c, L, \nu^c, e^c)$

210 Higgs: $\Sigma \supset (p, a, \omega, \dots)$

$$SO(10) \longrightarrow SU(5) \times U(1)$$

16 $\overline{16}$ Higgs: $\Phi \supset (\phi_R, \dots)$

$$SU(5) \times U(1) \longrightarrow SU(3) \times SU(2) \times U(1)$$

10 Higgs: $H \supset (H_u, H_d, \dots)$

$$SU(3) \times SU(2) \times U(1) \longrightarrow SU(3) \times U(1)_{EM}$$

1 'Higgs': T

break SUSY

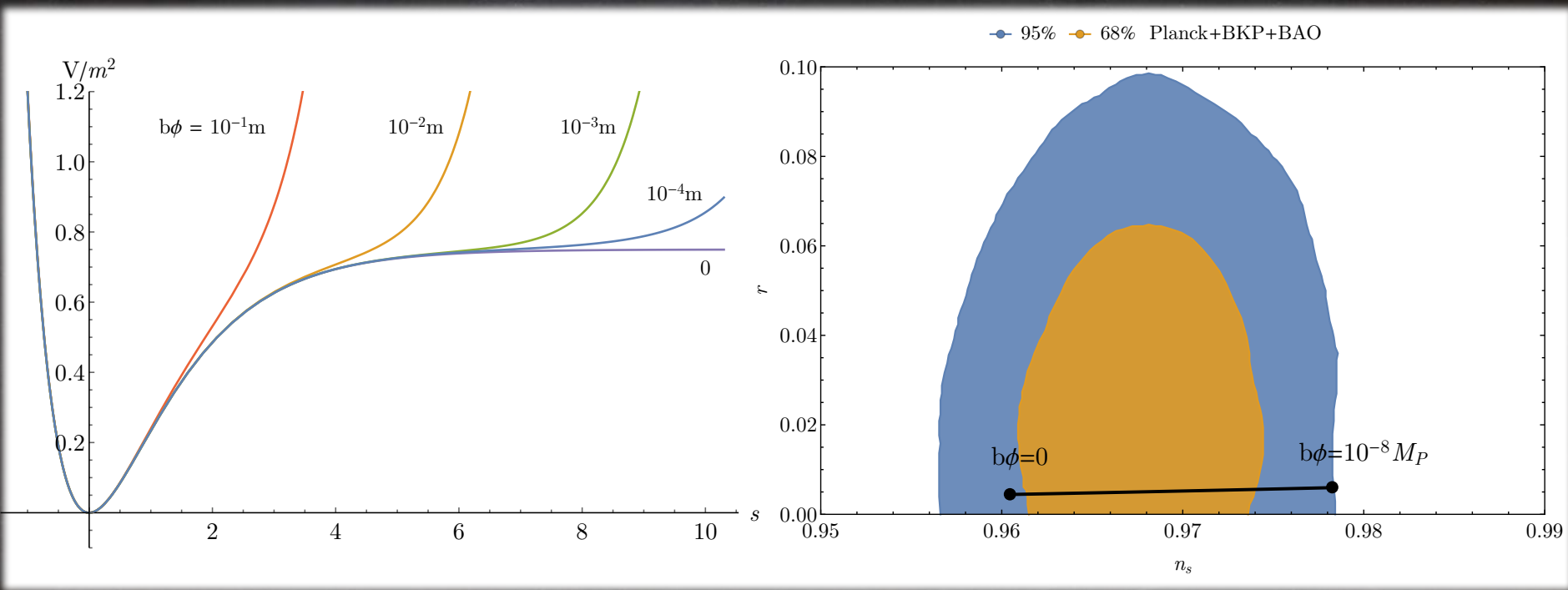
$$K = -3 \ln \left[T + T^* - \frac{1}{3} \left(S^* S + H^\dagger H + \psi^\dagger \psi + \Phi^\dagger \Phi + \bar{\Phi}^\dagger \bar{\Phi} + \frac{1}{4!} \Sigma^\dagger \Sigma \right) \right]$$

$$W = \frac{m}{2} S^2 - \frac{\lambda}{3} S^3 + b S \bar{\Phi} \psi + m_\Phi \bar{\Phi} \Phi + \frac{\eta}{4!} \bar{\Phi} \Phi \Sigma + \frac{m_\Sigma}{4!} \Sigma^2 + \frac{\Lambda}{4!} \Sigma^3$$

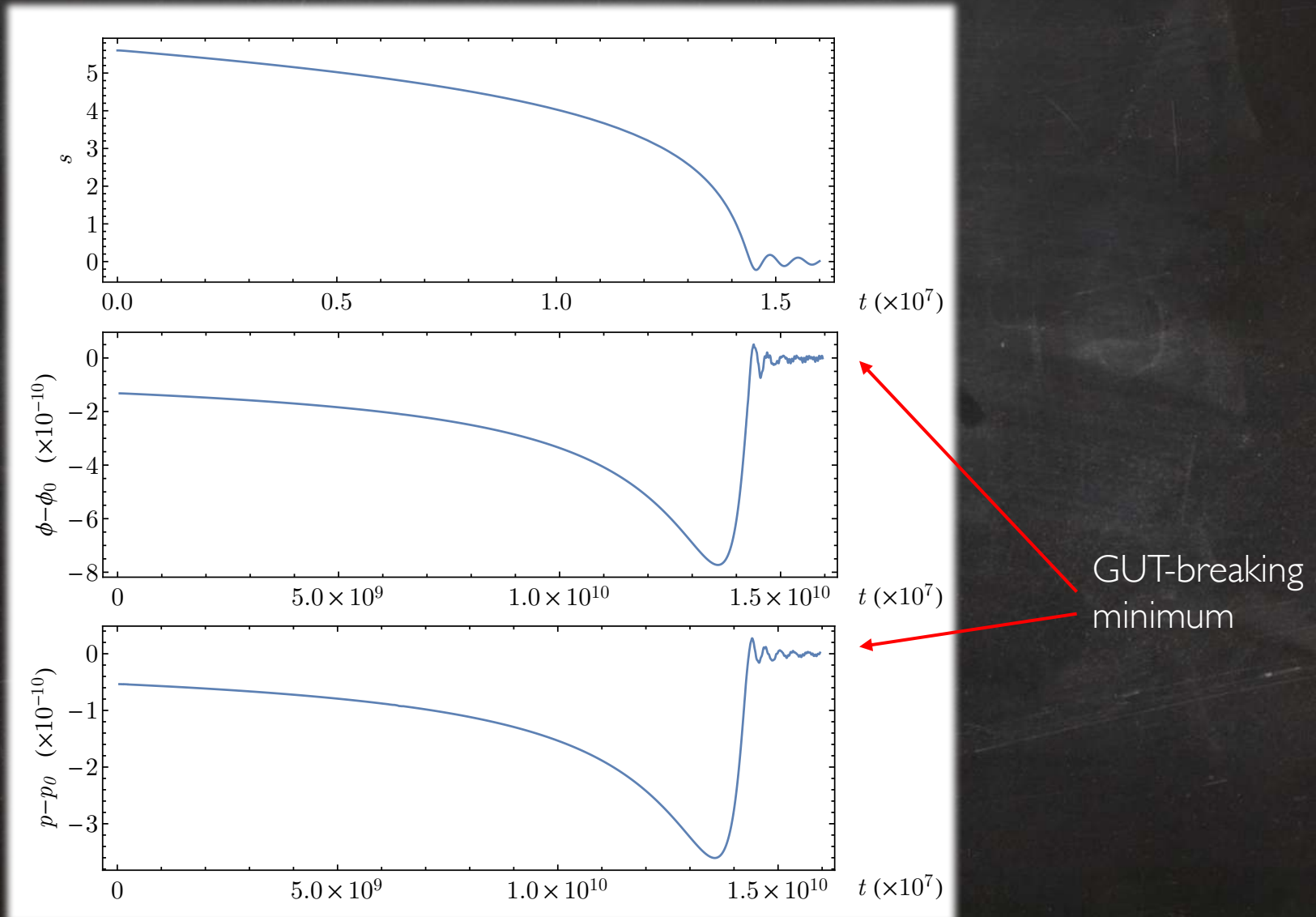
$$+ y H \psi \psi + m_H H^2 + H(\alpha \Phi \Phi + \bar{\alpha} \bar{\Phi} \bar{\Phi}) + \text{constrained}$$

Deformation of the inflationary potential

$$V \simeq \frac{3}{4}m^2 \left(1 - e^{-\sqrt{2/3}s}\right)^2 + \frac{3}{4}|b\phi|^2 \sinh^2(\sqrt{2/3}s)$$



Time evolution of scalar fields:



Neutrino masses

$$\mathcal{L}_{\text{mass}} = - \begin{pmatrix} \bar{\nu}_L & \bar{\nu}_R^c & \bar{\tilde{S}} \end{pmatrix} \begin{pmatrix} 0 & -m_u & 0 \\ -m_u & 0 & -b\phi \\ 0 & -b\phi & m \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \\ \tilde{S} \end{pmatrix} \quad m_u \ll b\phi \ll m$$

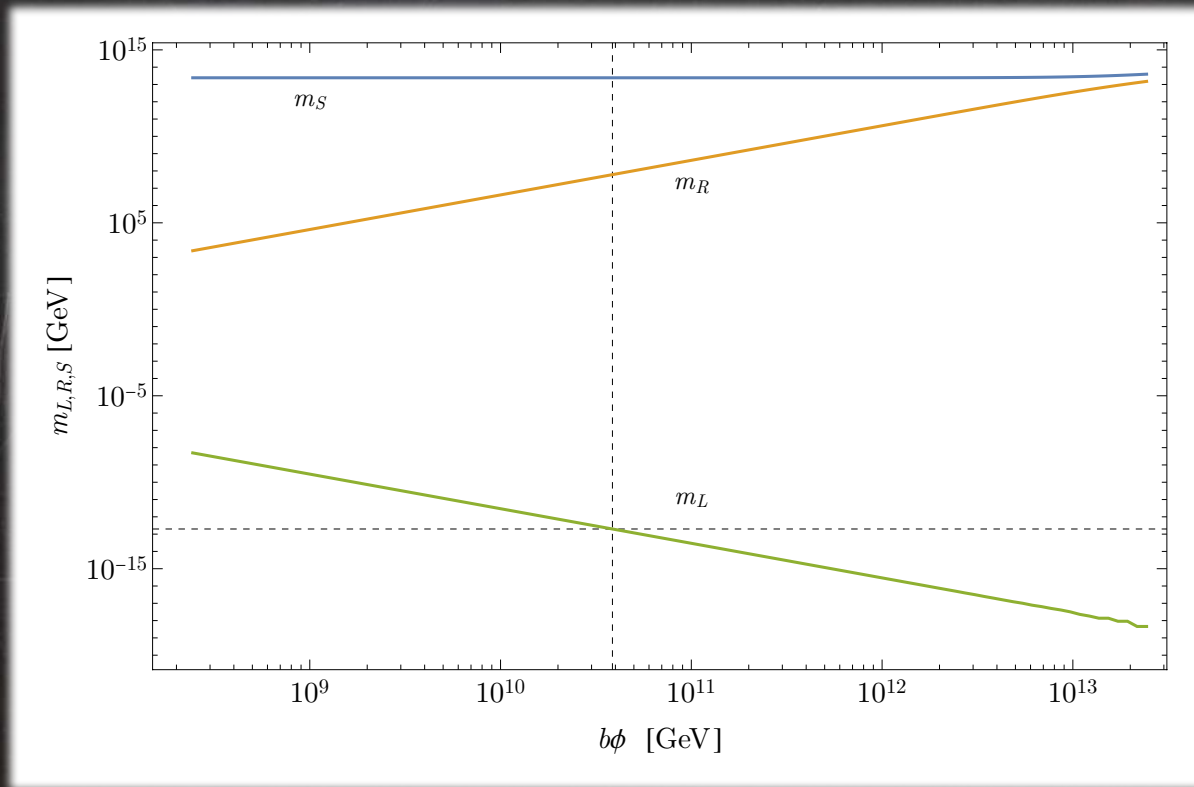
$$\Rightarrow \mathcal{M}_{\text{diag}} \simeq \begin{pmatrix} m \left(\frac{m_u}{b\phi} \right)^2 & 0 & 0 \\ 0 & -\frac{(b\phi)^2}{m} & 0 \\ 0 & 0 & m \end{pmatrix}$$



Neutrino masses

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$$m_u \ll b\phi \ll m$$



$$\sum m_\nu < 0.12 \text{ eV}$$

Neutrino masses

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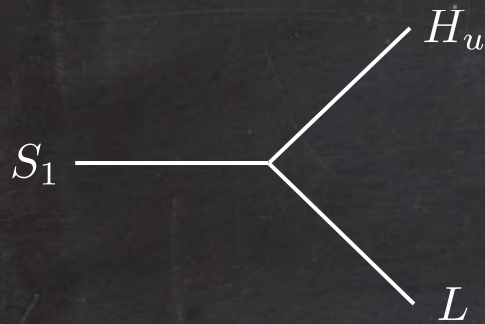
$$n_s \simeq -\frac{2}{N_*} + \frac{8}{3} \left(\frac{m}{m_\nu} \right) \left(\frac{m_u}{m} \right)^2 N_*^2 + \dots$$

$$r \simeq \frac{12}{N_*^2} + \frac{32}{3} \left(\frac{m}{m_\nu} \right) \left(\frac{m_u}{m} \right)^2 N_* + \dots$$

Neutrino physics can probe the unification and inflationary scales!

Reheating, Dark Matter and Baryogenesis

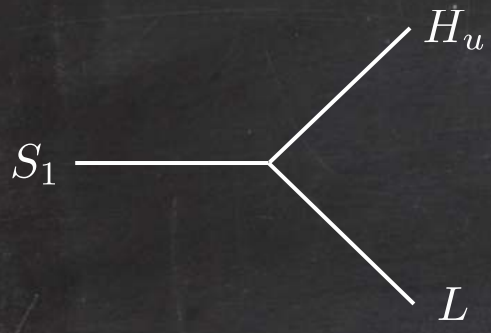
When inflation ends, the Universe must be re-populated ($\rho \sim e^{-3Ht}$)



$$T_R \simeq 10^{15} \text{ GeV} \times \left| b \left(\mathcal{U}_{21} - \frac{y\phi}{m} \right) \right| \left(\frac{g_*}{915/4} \right)^{-1/4} \left(\frac{m}{10^{-5} M_P} \right)^{1/2}$$

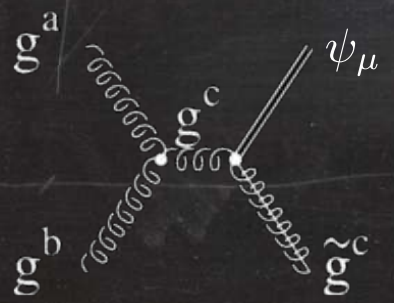
Reheating, Dark Matter and Baryogenesis

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$$T_R \simeq 10^{15} \text{ GeV} \times \underbrace{\left| b \left(\mathcal{U}_{21} - \frac{y\phi}{m} \right) \right|}_{\lesssim 10^{-5}} \left(\frac{g_*}{915/4} \right)^{-1/4} \left(\frac{m}{10^{-5} M_P} \right)^{1/2}$$

Dark matter production:

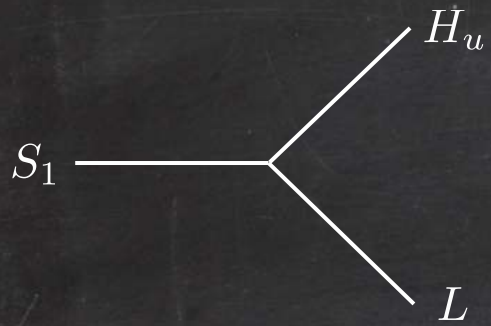


$$\Rightarrow \psi_\mu \longrightarrow G + \tilde{G} \quad (\text{neutralino})$$

$$\Omega_{\tilde{G}} h^2 \lesssim 0.12$$

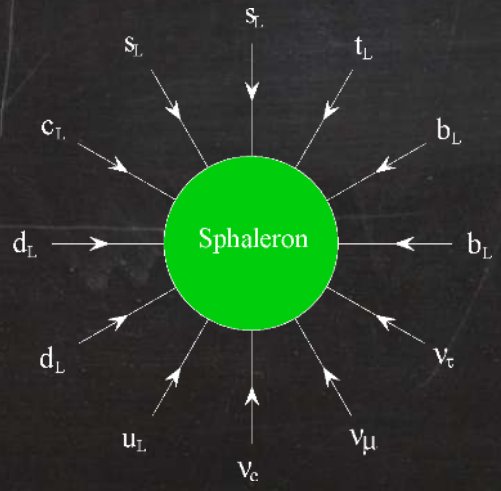
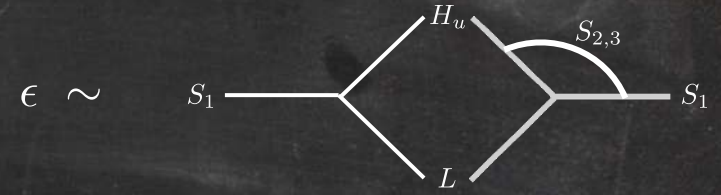
Reheating, Dark Matter and Baryogenesis

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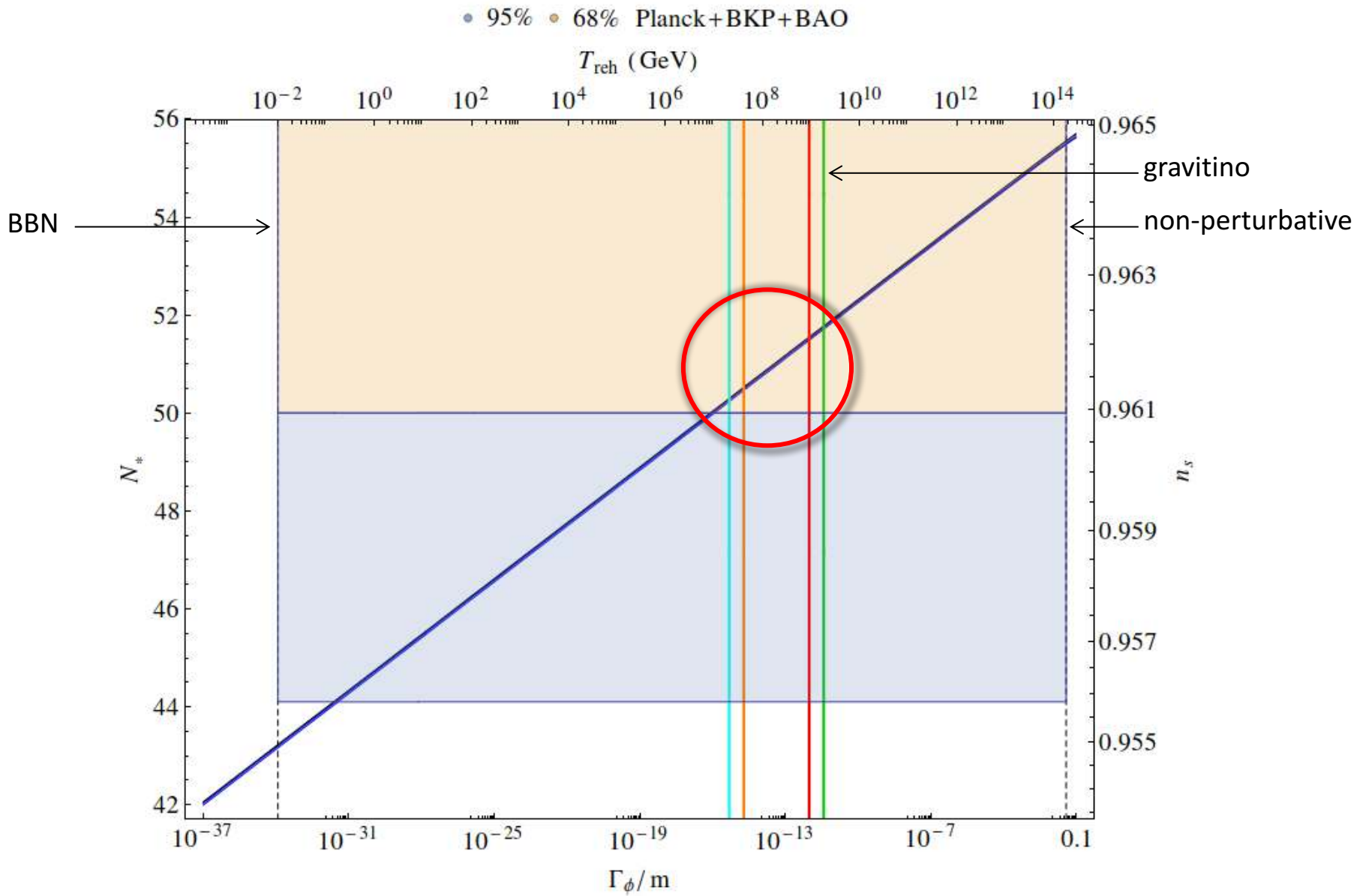


lepton-number asymmetric!

$$\Rightarrow \frac{n_L}{s} \sim \epsilon \frac{T_R}{m}$$



L-asymmetry converted to B-asymmetry through non-perturbative processes



CONCLUSION

- Embedding of R^2 inflation in a supersymmetry GUT model
- GUT symmetry broken automatically during/after inflation
- Natural (?) initial conditions
- Fermion masses: unification of b and τ Yukawa couplings; double neutrino see-saw
- Gravitino (over)production bound consistent with first generation coupling
- Broken R-parity, but LSP is long-lived
- Successful leptogenesis
- High SUSY breaking scale needed to satisfy proton decay bounds
- Rapid inflaton decay favored by data; no-scale reheating lies in the favored range.

The future

- Flipped $SU(5) \times U(1)$ (EGNNO)
- A statistical description of the reheating epoch



M. Amin

H. Xie
(Wisconsin)

J. Shen



O. Wen

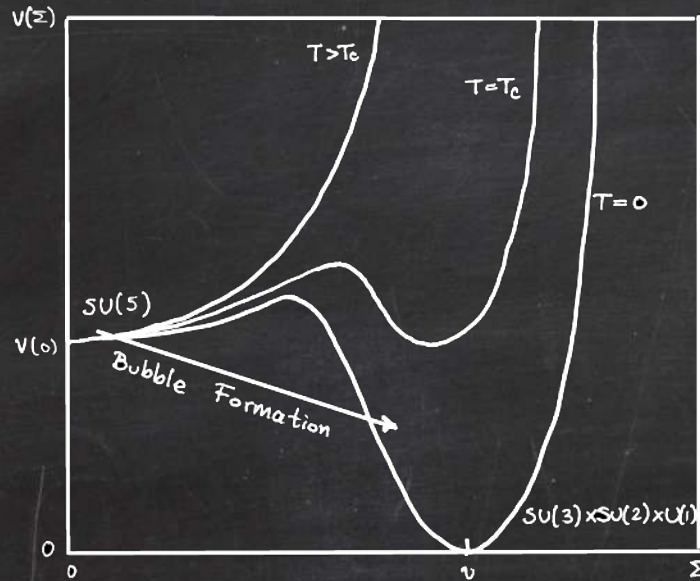


S. Carlsten

Thank you!

GUT + Inflation?

- Inflation is possible in GUT theories (*old inflation*)



But lacks “graceful exit”,

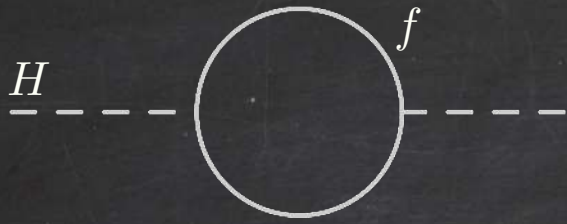
- Nucleation of bubbles doesn’t generate radiation
- Collisions can, but for the amount of inflation needed, no collisions happen.



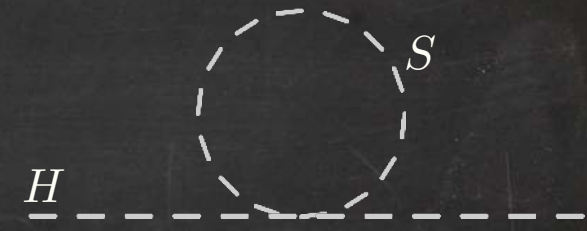
- Not impossible (*Higgs inflation*) (Bezrukov, Shaposhnikov)

$$S = \int d^4x \sqrt{g} \left(-\frac{R}{2} + \xi H^\dagger H R + \mathcal{L}_{\text{SM}} \right) \Rightarrow V(\chi) = \frac{\lambda}{4\xi^2} \left(1 + e^{-2\chi/\sqrt{6}} \right)^{-2}$$

- Radiative corrections (hierarchy problem) 😞

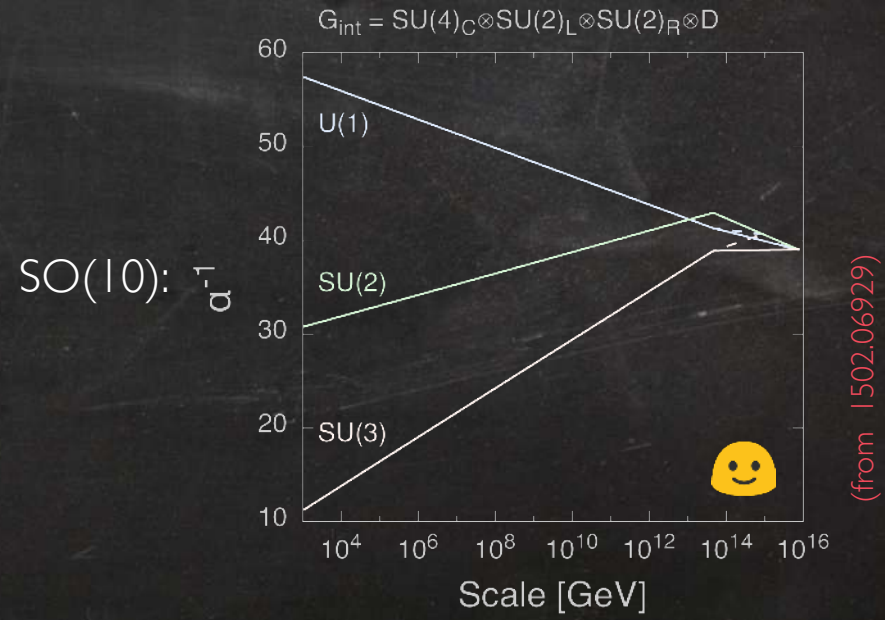
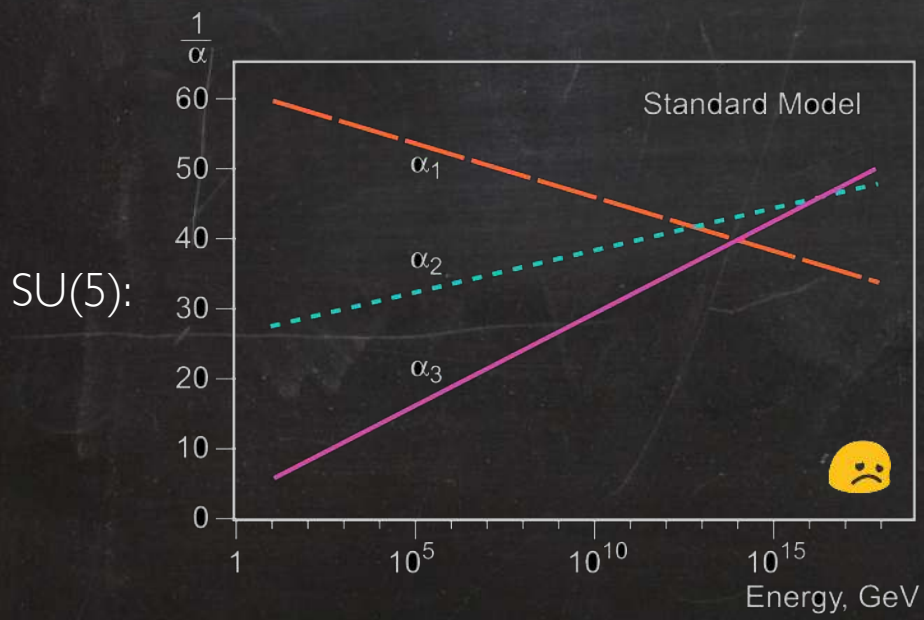


$$\Delta m_H^2 = \frac{\lambda_f^2}{8\pi^2} \left[-\Lambda^2 + 6m_f^2 \ln \left(\frac{\Lambda}{m_f} \right) \right]$$



$$\Delta m_H^2 = \frac{\lambda_S}{16\pi^2} \left[\Lambda^2 - 2m_S^2 \ln \left(\frac{\Lambda}{m_S} \right) \right]$$

- Matching of unification scales is not automatic



The other goodies

3) Doublet-triplet splitting

$$W_\mu = (\bar{H}_L, \phi_L) \begin{pmatrix} m_H & -\bar{\alpha}\phi \\ -\alpha\phi & m_\Phi + \eta(p - 3a) \end{pmatrix} \begin{pmatrix} H_L \\ \bar{\phi}_L \end{pmatrix}$$

$$\Rightarrow \mu_{1,2} = \frac{1}{2} \left[m_H + 2\eta(p + 3\omega) \mp \sqrt{[m_H + 2\eta(p + 3\omega)]^2 - 4\Delta} \right],$$

$$\Delta = 2\eta m_H (p + 3\omega) - \alpha\bar{\alpha}\phi^2 \quad \text{No significant fine tuning unless } x \simeq 0, 1/3, \pm i$$

4) Proton decay

$$\text{Color-triplet mediated } p \rightarrow K^+ \bar{\nu} \sim \begin{cases} \tan \beta \simeq m_t/m_b \\ m_{H\text{-triplet}}^{-2} \end{cases} \quad M_{\text{SUSY}} \gtrsim 10 \text{ TeV}$$

Gauge mediated

$$\tau(p \rightarrow e^+ \pi^0) \simeq 5 \times 10^{34} \times \left(\frac{1/25}{\alpha_{\text{GUT}}} \right)^4 \left(\frac{M_X}{10^{16} \text{ GeV}} \right)^4 \left(\frac{3}{A_R} \right)^2 \text{ years}$$

How to realize WZ ($\phi^2 + \phi^3$) no-scale inflation within SO(10) ?

1) Right-handed sneutrino.

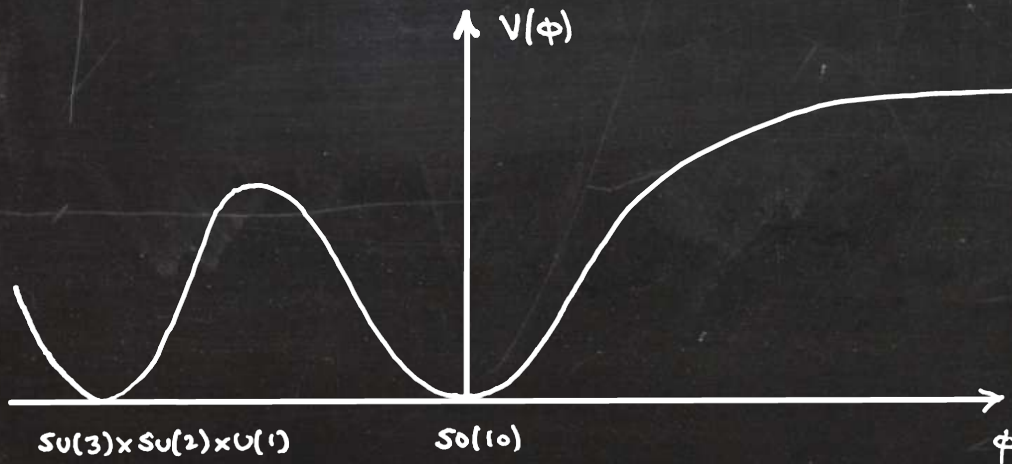
Spinor **16** : $\psi \supset (Q, u^c, d^c, L, \nu^c, e^c)$

Can't write $\mathbf{16}^2, \mathbf{16}^3$
gauge-invariant interactions



2) Singlet components of Higgs fields.

Quadratic and cubic terms possible for **210**, **126** SO(10)-breaking Higgs
(Garg, Mohanty 2015). But...



- $M_{\text{GUT}} \gg M_{\text{inf}}$
- Too much (or too little) reheating



Why Inflation?

Cosmological inflation is the early period of accelerated expansion, $a \sim e^{Ht}$
 It provides a solution to the problem of initial conditions in standard cosmology:

- The Flatness Problem
- The Horizon Problem
- Small Scale Inhomogeneities
- The Relics Problem

Heavy and stable relics can be produced in the early universe following phase transitions (monopoles, strings, domain walls,...)

$$1 \text{ monopole per horizon} \longrightarrow \Omega_M \sim 10^{13}$$

$$\text{Inflation} \Rightarrow \rho \sim e^{-3Ht} \quad \checkmark$$